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United States Patent Application  
of

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entitled

**METHOD FOR A GENERAL TURBO  
CODE TRELLIS TERMINATION**

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**METHOD FOR A GENERAL NEAR OPTIMAL TURBO  
CODE TRELLIS TERMINATION**

This applications claims benefit of U.S.  
Provisional Application Serial No. 60/098,111, filed  
August 27, 1998.

**BACKGROUND OF THE INVENTION**

The present invention relates to methods for  
terminating both of the constituent encoders of a turbo  
code and developing puncturing patterns applicable at a  
5 trellis termination stage that ensures the same number of  
transmitted bits for each trellis stage during the  
information bit transmission and trellis termination  
stages.

10 The process of forward and reverse link turbo  
encoding and decoding, specifically for Code Division  
Multiple Access (CDMA) communications channels, is  
thoroughly described in copending U.S. Patent Application  
Serial No. 09/248,338 (Attorney Docket No. PD-980024) of  
Eroz, et al., for SETS OF RATE-COMPATIBLE UNIVERSAL TURBO  
15 CODES NEARLY OPTIMIZED OVER VARIOUS RATES AND INTERLEAVER  
DELAYS, filed 2/11/99, and copending United States Patent  
Application Serial No. 09/235,582, (Attorney Docket No.  
PD-980163) of Eroz, et al., for FORWARD ERROR CORRECTION  
SCHEME FOR DATA CHANNELS USING UNIVERSAL TURBO CODE,  
20 filed 1/22/99, both of which are incorporated herein by  
reference.

In a convolutional encoding scheme, tail bits  
are inserted after information bits, to zero out all  
shift registers of an encoder. For feed forward encoders,  
25 tail bits are equal to zero. For feedback encoders the  
value of tail bits depend on the contents of the shift  
register current values.

A turbo encoder consists of a parallel  
concatenation of two (2) or more recursive (feedback)  
30 convolutional encoders. Because each constituent encoder  
processes the information bits in a different order due  
to a turbo interleaver, it is not possible to terminate  
all constituent encoders by the same tail bits.

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FOI b7E b7C b7D  
A trellis termination method general enough to  
be used for a set of turbo codes with different code  
rates as in the third generation CDMA systems is  
desirable. Included in the desirable general method is a  
5 method of puncturing tail bit sequences.

#### SUMMARY OF THE INVENTION

The present invention advantageously addresses  
the needs above as well as other needs by providing a  
10 method and apparatus for a general Turbo Code trellis  
termination which may be employed when a turbo encoder  
operates within a wide range of turbo code rates when  
transmitting information bits.

In its most general form, the invention can be  
15 characterized as a method of terminating two or more  
constituent encoders of a turbo encoder. The method  
comprises the steps of: generating tail input bits at  
each of two or more constituent encoders, including the  
step of deriving the tail input bits from each of the two  
20 or more constituent encoders separately from the contents  
of shift registers within each of the two or more  
constituent encoders, after an encoding of information  
bits by the two or more constituent encoders; and  
puncturing one or more tail output bits such that  $1/R$   
25 tail output bits are transmitted for each of a plurality  
of trellis stages, wherein  $R$  is a turbo code rate  
employed by the turbo encoder during the information bit  
transmission.

In yet another variation, the step of  
30 puncturing the one or more tail output bits further  
comprises the step of: transmitting, during trellis  
termination, the tail output bits only if they are sent  
from an output branch of one of the two or more  
constituent encoders that is used during information bit  
35 transmission.

## BRIEF DESCRIPTION OF THE DRAWINGS

The above and other aspects, features and advantages of the present invention will be more apparent from the following more particular description thereof, presented in conjunction with the following drawings wherein:

FIG. 1 is a block diagram of a turbo encoder with interleaved bits entering a second encoder, for use in accordance with one embodiment of the present invention.

Corresponding reference characters indicate corresponding components throughout the several views of the drawings.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The following description of the presently contemplated best mode of practicing the invention is not to be taken in a limiting sense, but is made merely for the purpose of describing the general principles of the invention. The scope of the invention should be determined with reference to the claims.

Referring to FIG. 1, an exemplary turbo code encoder is shown wherein one embodiment of a Turbo Code trellis termination design terminates one encoder 10 (a first encoder) while disabling another encoder 10' (a second encoder) and at a different time terminates the other encoder 10' (second encoder) while disabling the encoder 10 (first encoder).

The encoders (first and second encoders) 10, 10' of the turbo code encoder of FIG. 1 are constituent encoders configured in a parallel concatenation. It is well known in the art that a constituent encoder employ a configuration of modular adders 17, 20, 26, 28, 30, 24, and 25, and shift registers 18, 21, 22, coupled through nodes (such as node 32) to produce output bits, including tail output bits,  $X(t)$ ,  $Y_0(t)$ ,  $Y_1(t)$ , for example,

depending upon the encoding scheme. FIG. 1 is just one example of such a parallel concatenation of constituent encoders, wherein an interleaver device (Interleaver) 16 is employed between an input for  $X(t)$  and the second encoder 10', and wherein additionally, a puncturer 36 is employed, switchably coupled to respective encoder outputs for each of the encoders (first and second encoders) 10, 10'. As described herein, tail input bits will mean the bits  $X$ , and  $X'$  in FIG. 1, and tail output bits will mean the bits  $X$ ,  $X'$ ,  $Y_0$ ,  $Y_0'$ ,  $Y_1$  or  $Y_1'$ . In other turbo encoders, there may be more than two constituent encoders. Each of the constituent encoders may utilize a fewer or greater number of shift registers than in FIG. 1.

In FIG. 1, after message bits  $X(t)$  are encoded, a switch 12 is moved to a feedback position to allow the generation of three (3) consecutive tail input bits, in this example, generated from the contents of each of three shift registers 18, 21, and 22 (also referred to herein as a first shift register 18, a second shift register 21, and a third shift register 22). In general, a number of tail input bits  $X(t)$ ,  $X'(t)$  for terminating a constituent encoder is equal to a number of shift registers in that encoder.

At the end of each clock cycle, new tail input bits  $X(t)$ ,  $X'(t)$  are generated for zeroing out each respective shift register of the three shift registers, 18, 21 and 22.

In one embodiment of the invention the encoders 10, 10' are terminated simultaneously within three clock cycles, each with its own tail input bit  $X(t)$ ,  $X'(t)$ . Alternatively, the first encoder 10 is first terminated while the second encoder 10' is disabled, followed by the second encoder 10' being terminated while the first encoder 10 is disabled.

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In the variation with the encoders 10, 10' terminated at different times the encoders 10, 10' can be terminated in consecutive clock cycles, wherein six (6) consecutive clock cycle tail input bits  $X(t)$ ,  $X'(t)$ ,  
5 consecutively terminate both the encoders 10, 10'.

As can be seen from FIG. 1, a second tail input bit sequence 34' for terminating the second encoder 10' is fed back into the second encoder 10' through a switch 12' and circuit 14'. Tail input bits  $X(t)$ ,  $X'(t)$  are not  
10 interleaved by the turbo interleaver 16. Similarly, a tail input bit sequence 34 for terminating the first encoder 10 is fed back into the first encoder 10 through another switch 12 and another circuit 14.

The zeroing of the shift registers 18, 21, 22, prior to implementing a puncturing scheme per an embodiment of the invention, is triggered by a beginning and an ending tail input bit sequence  $X(t)$ ,  $X'(t)$ , each sequence having a number  $n$  of tail input bits  $X(t)$ ,  $X'(t)$  equal to the number  $n$  of shift registers 18, 21, 22 or  
20 18', 21, 22 coupled to each one of the encoders 10, 10'.

As with information and coded bits, tail output bits  $X$ ,  $Y_0$ ,  $Y_1$ ,  $X'$ ,  $Y_0$ ,  $Y_1$  are also punctured by the puncturer 36.

Table 1 indicates associated tail output bit puncturing patterns having indicator sequences (e.g., "111 000") identifying which bits to puncture and which bits to transmit. The indicator sequence, comprising "1"'s or "0"'s is selected in accordance with an encoder rate. In this notation, "1" indicates the tail output bit  
30 should be transmitted and "0" indicates that the tail output should be punctured. Certain entries in Table 1 are labeled "repeat", which means that transmitted bits are transmitted twice.

The tail input bit sequences 34, 34', which  
35 comprise tail input bits  $X$ , and  $X'$ , are generated after the encoders 10, 10' encode the information bits with the switches 12, 12' (FIG.1), while the switches 12, 12' are in an up position. The first  $n/R$  tail output bits  $X_1$ ,  $Y_0$ ,

$Y_1$ , wherein  $n$  is the number of shift registers 18, 21, 22 or 18', 21', 22' per constituent encoder ( $n=3$  in FIG.1), and wherein  $R$  is a turbo code rate being employed, are generated by clocking the first encoder 10  $n$  times with its switch 12 in the down position while the second encoder 10' is not clocked, and puncturing or repeating the resulting tail output bits  $X_1, Y_0, Y_1, X', Y_0', Y_1'$  according to Table 1 below. The last  $n/R$  tail output bits  $X', Y_0', Y_1'$  are generated by clocking the second encoder 10'  $n$  times with its switch 12' in the down position while the first encoder 10 is not clocked, and puncturing or repeating the resulting tail output bits according to Table 1. These final output bits are denoted by  $X', Y_0'$  or  $Y_1'$ .

For rate  $\frac{1}{2}$  turbo codes, the tail output bits for each of a first  $n$  tail input bit (also referred to herein as "the beginning tail bit sequence  $X(t)$ ") are  $XY_0$ , and the tail output bits for each of a last  $n$  tail bit periods (also referred to herein as "the ending tail bit sequence  $X'(t)$ ") are  $X'Y_0'$ . For rate  $1/3$  turbo codes, the tail output bits for each of the first  $n$  tail input bits are  $XXY_0$ , and the tail output bits for each of the last  $n$  tail bits are  $X'X'Y_0'$ . For a rate  $1/4$  turbo code, the tail output bits for each of the first  $n$  tail input bits are  $XXY_0Y_1$  and the tail output bits for each of the last  $n$  tail input bits periods are  $X'X'Y_0'Y_1'$ .

Tail inputs bits are not interleaved by the interleaver 16. They are added after the encoding of the information bits.

TABLE 1: Puncturing Patterns for Tail Output Bits

Rate	1/2	1/3	1/4
X(t)	111 000	111 000 Repeat	111 000 Repeat
Y <sub>0</sub> (t)	111 000	111 000	111 000
Y <sub>1</sub> (t)	000 000	000 000	111 000
X'(t)	000 111	000 111 Repeat	000 111 Repeat
Y <sub>0</sub> '(t)	000 111	000 111	000 111
Y <sub>1</sub> '(t)	000 000	000 000	000 111

When employing Table 1 to design puncturing patterns for tail output bits, the row designation "Repeat" means that for a rate 1/3 or a rate 1/4 turbo code, when transmitted, the bits X and X' are transmitted twice.

For a rate 1/2 turbo code, the puncturing table is read first from top to bottom, and then from left to right. For a rate 1/3 turbo code and a rate 1/4 turbo code, the puncturing table is read first from top to bottom, repeating X(t) and X'(t), and then from left to right.

The puncturing patterns in Table 1 are chosen so that:

(1) A number of transmitted tail output bits during trellis termination is 1/R for each trellis branch wherein R is the turbo code rate employed during information bit transmission. Advantageously, this condition ensures that the same turbo code rate is used for trellis termination as for information bit transmission.

(2) Only output branches of the encoders -10, 10' used during information bit transmission are used for trellis termination. For example, for rate 1/2 and rate



1/3 turbo coders, only  $X(t)$ ,  $X'(t)$ ,  $Y_0(t)$  and  $Y'_0(t)$  are transmitted during information bit transmission;  $Y_1(t)$  and  $Y'_1(t)$  are always punctured. Therefore, only  $X(t)$ ,  $X'(t)$ ,  $Y_0(t)$  and  $Y'_0(t)$  are transmitted during the trellis termination stage, as well. Advantageously, therefore, if a manufacturer only wanted to implement a rate 1/2 and encoder, such a manufacturer would only have to implement transmissions of bits from branches  $X$ ,  $Y_0$  or  $X'$ ,  $Y'_0$ .

(3) In order to meet conditions (1) and (2), it may require repetition of some tail output bits during trellis termination. That is, to both keep the turbo code rate the same, and to only use output branches used in information bit transmission, it may be necessary to repeat one or more of the tail bits for each encoder 10, 10' in order to keep the turbo code rate the same.

In the preferred embodiment illustrated by Table 1,  $X(t)$  and  $X'(t)$  are selected to be repeated in both the turbo code rate 1/3 and rate 1/4 cases. Table 1 may also be employed irrespective of whether the encoders 10, 10' are terminated concurrently or non-concurrently.

Alternative embodiments are envisioned, in keeping within the spirit of the invention wherein another tail output bit is selected to be repeated, such as, for example that corresponding to  $Y_0(t)$  and  $Y'_0(t)$ .

Alternatively, where a code rate lower than 1/4 is employed it may be necessary to repeat more than one tail output bit per encoder 10, 10', in which case an additional tail bit besides  $X(t)$  may be repeated, such as repeating  $X(t)$  and  $Y_0(t)$  or repeating  $X(t)$  twice or any combination whatsoever.

While the invention herein disclosed has been described by means of specific embodiments and applications thereof, numerous modifications and variations could be made thereto by those skilled in the art without departing from the scope of the invention set forth in the claims.